

Injective norm of real and complex random tensors

www.benjaminmckenna.com/ihp

Joint work with Stéphane Dartois

I) MOTIVATIONS

Goal: "How entangled is a random quantum state?"

is: how close is a random quantum state $|\Psi\rangle$ (element of $(\mathbb{C}^d)^{\otimes p}$)
to being separable = rank-one, $|\Psi\rangle \stackrel{?}{\approx} |\Psi_1, \dots, \Psi_p\rangle := |\Psi_1\rangle \otimes \dots \otimes |\Psi_p\rangle$?

Main thm: Upper bound for one formalization of this Q.

Interesting because:

- ① Method is different from previous bounds (comes from spinglasses)
- ② Leading-order constant is conjecturally tight (Guillaume's α_p)

How to formalize:

① Step 1: "Quantum state" \rightsquigarrow "Tensor"

Interpret quantum states as tensors $T \in (\mathbb{C}^d)^{\otimes p}$,

$$T_{i_1, \dots, i_p} = \langle \Psi | e_{i_1, \dots, i_p} \rangle \in \mathbb{C} \quad \text{for } i_j \in [1, d]$$

\uparrow
standard basis vectors

\rightsquigarrow "How far is a random tensor from being separable?"

② Step 2: "far from separable" \leadsto "injective norm"

Matrix case: Sep. = rank one, $T = xy^T$, so one geometric notion of

"close to sep." is "large overlap with rank-one matrices"

$$\begin{aligned} \max_{\|x\|=\|y\|=1} |\langle T, xy^T \rangle_{\text{Frob}}| &= \max_{\|x\|=\|y\|=1} |\langle y, Tx \rangle| = \|T\|_{\text{op}} \\ &= \max_{\|x\|=\|y\|=1} \left| \sum_{ij} T_{ij} x_i y_j \right|. \end{aligned}$$

Tensor analogue: "injective norm"

$$\|T\|_{\text{inj}} = \max_{\|x^{(1)}\|=\dots=\|x^{(p)}\|=1} \left| \sum_{i_1, \dots, i_p=1}^d T_{i_1, \dots, i_p} x_{i_1}^{(1)} \dots x_{i_p}^{(p)} \right|$$

Geometric entanglement of $T \iff -\log \|T\|_{\text{inj}}$.

\leadsto "What is the injective norm of a random tensor T ?"

③ Step 3: "random" \leadsto "Gaussian"

- Many prob. measures on tensors
- Simplest: T_{i_1, \dots, i_p} i.i.d. standard Gaussians

$$\left(\begin{array}{l} \text{quantum: often interested in normalized version} \\ \tilde{T}_{i_1, \dots, i_p} = \frac{T_{i_1, \dots, i_p}}{\gamma}, \quad \gamma^2 = \sum_{i_1, \dots, i_p=1}^d |T_{i_1, \dots, i_p}|^2 \end{array} \right)$$

\uparrow
almost deterministic
for our purposes,
so focus on unnormalized T

~> "What is the injective norm of a Gaussian tensor T ?"

Rmks:

$$\begin{aligned} \textcircled{1} \|T\|_{\text{inj}} &= \max_{\|x^{(i)}\|=1} \left| \sum_{i_1, \dots, i_p=1}^d T_{i_1, \dots, i_p} x_{i_1}^{(1)} \dots x_{i_p}^{(p)} \right| \\ &=: \max_{\|x^{(i)}\|=1} |f_T(x^{(1)}, \dots, x^{(p)})| \end{aligned}$$

So is the maximum of a nice Gaussian process (random polynomial!) on a product of spheres.

② Diagonal $f_T(x, \dots, x)$ is a pure spherical p -spin glass (if T_{i_1, \dots, i_p} real)

Many choices from here:

① Entries T_{i_1, \dots, i_p} real or complex

↑ easier ↑ quantum motivation

② Symmetry:

• T nonsymmetric (genuinely IID)

• T symmetric ($T_{\pi(i_1) \dots \pi(i_p)} = T_{i_1, \dots, i_p}$)

③ Scaling limits

• p fixed, $d \rightarrow \infty$ (spin glasses)

• d fixed, $p \rightarrow \infty$ (quantum information)

II HISTORY

- $p=2$ is the operator norm of a Gaussian matrix (classical)
- Symmetric case benefits from

Thm: (Kelllogg 1928, van der Corput-Schaake 1935): Deterministically,
$$\|T\|_{:,ij} = \max_{\|x^{(i)}\|=1} |\langle T, x^{(i)} \otimes \dots \otimes x^{(j)} \rangle| = \max_{\|x\|=1} |\langle T, x \otimes \dots \otimes x \rangle|$$

\Rightarrow Real, symmetric, p fixed $d \rightarrow \infty$ case is ground state of spherical p -spin

\Rightarrow completely solved (Crisanti - Sommers 1995, Auffinger - Ben Arous - Černý 2013, Subag 2017)

- Otherwise, ε -net techniques give correct order but not correct constant:

- Gross-Flammia-Eisert 2009: When $d=2$, \mathbb{C} , normalized, \mathbb{J}_C, C

$$\mathbb{P} \left(c \frac{\sqrt{\log p}}{2^{p/2}} \leq \|T\|_{:,ij} \leq C \frac{\sqrt{\log p}}{2^{p/2}} \right) \xrightarrow{p \rightarrow \infty} 1.$$

(Our result: $C=1+\varepsilon$)

- Tomioka-Suzuki: 2014, Nguyen-Drineas-Tran 2015: \mathbb{R} , unnormalized, finite- d, p bounds roughly like

$$\|T\|_{:,ij} \leq C \sqrt{d p \log p}$$

(Our result: $C=1+\varepsilon$)

- Numerics: Ffite-Lancier-Nechita 2022: Both $\mathbb{R} + \mathbb{C}$, both sym./non, $p=3$ or 4 and $d \rightarrow \infty$.

III RESULTS

Thm: (Dartois-M. 2024): High-probability upper bound for

- nonsymmetric
- $p \rightarrow \infty$ or $d \rightarrow \infty$
- \mathbb{R} or \mathbb{C}
- normalized or unnormalized

Leading constant is explicit, conjecturally tight, and in special cases agrees with

- Numerics of Fitter-Lancier-Nechita
- Simultaneous physics work of Sasakura 2024
- Conditional spin-glass results of Subag.

One example of the bound: \mathbb{R} , unnorm., p fixed, $d \rightarrow \infty$:

- Previously: $\|T_{\text{sym}}\|_{ij} \approx \sqrt{d} E_0(p)$,
where $E_0(p)$ is implicitly defined, $\frac{E_0(p)}{\sqrt{\log p}} \rightarrow 1$ as $p \rightarrow \infty$
- Us: $\|T\|_{ij} \leq \sqrt{dp} E_0(p)(1+\varepsilon)$.

Rmks:

- ① Same $E_0(p)$! Simple explanation?
- ② Verifies folklore notion "nonsymmetric states are more entangled than symmetric states"

IV METHODS (unnormalized real case)



• $M = (S^{d-1})^{\otimes p}$ is a nice manifold, and

$$\sup_{x \in M} f_T(x) = \inf \{ u : f \text{ has no critical points above } u \}$$

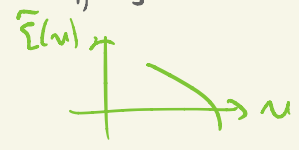
So if we can compute

$$\tilde{\Sigma}(u) = \log \mathbb{E}[\text{Crt}(f_T, u)] = \log \mathbb{E}[\# \{ \tilde{x} : \nabla f_T(\tilde{x}) = 0 \text{ and } f_T(\tilde{x}) \geq u \}]$$

then

$$\mathbb{P}(\|T\|_{\text{inj}} \geq u) = \mathbb{P}(\text{Crt}(f_T, u) \geq 1) \leq \mathbb{E}[\text{Crt}(f_T, u)]$$

$$\Rightarrow \|T\|_{\text{inj}} \leq \text{zero of } \tilde{\Sigma}(u)$$



How to compute $\tilde{\Sigma}(u)$?

• Kac-Rice formula:

$$\mathbb{E}[\text{Crt}(f_T, u)] = \int_M d\sigma \mathbb{E}[\overbrace{|\det(\nabla^2 f_T(\sigma))| \mathbb{1}\{f_T(\sigma) \geq u\}}^{\text{Hessian at a critical point}} \mid \nabla f_T(\sigma) = 0]$$

$$\times \underbrace{\varphi_\sigma(0)}_{= \mathbb{P}(\nabla f_T(\sigma) = 0)} d\sigma$$

\Rightarrow Turns into "How does the determinant of a random matrix behave?"

• Standard spin-glass idea, but the random matrices are harder here
eg. for real case $p=3$,

$$B = \begin{pmatrix} \boxed{0} & & \\ & \boxed{0} & \\ & & \boxed{0} \end{pmatrix} \begin{matrix} \mathcal{N}(0,1) \\ \mathcal{N}(0,1) \\ \mathcal{N}(0,1) \end{matrix}$$

zero blocks are technically difficult
build on Ben Arous-Bourgain-M. 2021
Complex: Worse

High-level reason why $E_0(p)$ still appears:

If matrix W has $W_{ij} \sim \mathcal{N}(0, \sigma_{ij}^2)$, histogram is still semicircular if all row sums are equal: $\sum_j \sigma_{ij}^2$ does not depend on i .

V SUMMARY

- Quantum information: Kac-Rice can give finer constants on injective norms than ε -nets, and recent RMT advances help
- Spin glasses: Quantum motivation for ground state of unusual spin glasses:
 - Multispecies
 - p -spin for $p \rightarrow \infty$
 - Complex

Open:

- ① Matching lower bound? (stay tuned)
- ② Non-Gaussian models of randomness, like MPS (not clear that Kac-Rice applies)
- ③ Add a signal? (Non-symmetric analogue of tensor PCA results? This is the pure noise case.)